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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Kenji YAMANISHI, et al.

Appln. No.

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: March 14, 2001

For: QUESTIONNAIRE ANALYSIS SYSTEM

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. DE-FACTO®, Communications Excellence Dentsu, March 1999, pp. 1-5.
2. I. Watanabe, "Keyword Associator", 2<sup>nd</sup> Edition, Research Group Paper of 15<sup>th</sup> Meeting of System Engineering Group of Society of Measurement and Automatic Control of Japan, July 1994, pp. 1-8.
3. Watanabe et al., "HIPS", Research Group Paper of 17<sup>th</sup> Meeting of System Engineering Group Society of Measurement and Automatic Control of Japan, January 1995, pp. 1-8.
4. Japanese Laid-Open Patent Publication No. 11-66036, published March 9, 1999, with English Abstract.
5. Japanese Laid-Open Patent Publication No. 11-143856, published May 28, 1999, with English Abstract.

6. Li et al., "Text Classification Using ESC-based Stochastic Decision Lists", *Proceedings of 8th International Conference on Information and Knowledge Management, CIKM '99*, 1999, pp. 122-130.
7. Japanese Patent No. 2581196, issued November 21, 1996, with English Abstract.
8. J. Rissanen, "Fisher Information and Stochastic Complexity", *IEEE Transactions on Information Theory*, Vol. 42, No. 1, January 1996, pp. 40-47.
9. K. Yamanishi, "A Decision-Theoretic Extension of Stochastic Complexity and Its Applications to Learning", *IEEE Transactions on Information Theory*, Vol. 44, No. 4, July 1998, pp. 1424-1439.
10. Japanese Laid-Open Patent Publication No. 08-166965, published June 25, 1996, with English Abstract.
11. Japanese Patent No. 2940501, issued June 18, 1996, with English Abstract.
12. Japanese Laid-Open Patent Publication No. 11-250100, published September 17, 1999, with English Abstract.

One copy of each of the listed documents is submitted herewith.

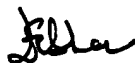
The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that the foreign language references are discussed within the specification beginning at page 1, lines 15, 16 and 20, page 2, line 4 and page 7, line 26.

YAMANISHI et al.  
Q63084  
Information Disclosure Statement

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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